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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) NHS-0010 In Re Application Of: Natan Bauman Application No. Filing Date Examiner Customer No. **Group Art Unit** Confirmation No. 10/773,731 February 5, 2004 **Dionne Pendleton** 23413 2615 8615 Title: **HEARING AID SYSTEM** Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. $\Box$ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); **OR** the fee set forth in 37 CFR 1.17(p).

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) NHS-0010 In Re Application of: Natan Bauman Confirmation No. Group Art Unit Application No. Filing Date Examiner Customer No. 8615 **February 5, 2004 Dionne Pendleton** 23413 2615 10/773,731 HEARING AID SYSTEM Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) ☐ A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. XCharge the amount of \$180.00 X Credit any overpayment. Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Mailing by First Class Mail Certificate of Transmission by Facsimile\* I certify that this document and authorization to charge deposit I hereby certify that this correspondence is being deposited account is being facsimile transmitted to the United States with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Patent and Trademark Office (Fa "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on October 3, 2006 (Date) (Date) Signature of Person Mailing Correspondence Signature JoAnne M. Varanelli Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate \*This certificate may only be used if paying by deposit account. Dated: October 3, 2006 H.M. Bedingfield Attorney for Applicant Registration No. 44,530 Cantor Colburn LLP 55 Griffin Road South Bloomfield, CT 06002 Phone: (860) 286-2929 CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Natan Bauman	)	2
Serial No.:	10/773,731	)	Group Art Unit: 2615
	February 5, 2004	)	Examiner: Dionne Pendleton
	•	)	Docket No.: NHS-0010
For:	HEARING AID SYSTEM	)	
Commission	er for Patents		
P.O. Box 145	50		
Alexandria, <sup>V</sup>	VA 22313-1450		

## INFORMATION DISCLSOURE STATEMENT UNDER 37 CFR § § 1.56, 1.97 AND 1.98

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-1449 listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material patentability.

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Respectfully submitted,

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CANTOR COLBURN LLP

Dated: October 3, 2006

H.M. Bedingfield

Attorney for the Applicant Registration No. 44,530

55 Griffin Road South Bloomfield, CT 06002 Customer No. 23413 Telephone No. (860) 286-2929

CERTIFICATE OF Applicant(s):	CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)  pplicant(s):			
Application No.	Filing Date	Filing Date Examiner		Group Art Unit
10/773,731	0/773,731 February 5, 2004 Dionne Pendleton			2615
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I hereby certify that t	his <u>IDS Statement; Fo</u>	rm PTO 1449; 2 cited non-US Refs; and (Identify type of correspondence)	l return receipt p	ostcard
is being deposited w	rith the United States Po	stal Service with sufficient postage as	first class mail in	an envelope
addressed to "Comm	nissioner for Patents, P. October 3, 2006 (Date)	O. Box 1450, Alexandria, VA 22313-1 	450" [37 CFR 1.8	B(a)] on
	(=)	JoAnne M.	Varanelli	
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Substitute for form 1449/PTO				Complete if Known						
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INFORMATION DISCLOSURE				Fil	ling Date	February 5, 2004				
STATEMENT BY APPLICANT (Use as many sheets as necessary)			Fi	rst Named Inventor	Natan Bauman					
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	U.S. PATENT DOCUMENTS									
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NON PATENT LITERATURE DOCUMENTS										
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Initials*	No		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.						T <sup>2</sup>	
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard S1.16 if possible." Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.